

ASA-672-02

#16/11/11
1-902

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

M. MORI et al

Serial No. 09/506,808

Group Art Unit: 2165

Filed: February 18, 2000

Examiner: C. Nguyen

For: AUTOMATIC AUCTION METHOD

RECEIVED

DEC 27 2001

APPLICANTS' INTERVIEW SUMMARY RECORD

Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

December 26, 2001

Sir:

The Applicants' representative thanks the Examiner for the courtesies extended during the office interview of December 18, 2001. During the interview, the following matters were discussed.

The invention as set forth in the amended claims accompanying this Summary are distinguishable from the typical live auction. In the present invention, a maximum margin is proposed by each bidder so that a competitive state is resolved in accordance with the respective maximum margins. In a live auction, there is no maximum margin incorporated into the auction. Further, the auctioneer does not know the bidder's "window", or maximum margin, and the live auction does not end when two bidders reach a competitive state;

instead, the auction continues with a bidder required to propose a higher bid than the previous high bid.

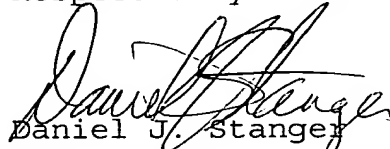
Concerning the secondary references to Fisher et al, US 5,835,896 (Fisher), and Ausubel, US 6,026,383 (Ausubel), both show real-time auction systems like the live auction system discussed above. The bidders bid with respect to a price proposed by an auctioneer ("Do I hear \$100?") while the auction is being performed. Note Fisher's use of "current auction status information" and Ausubel's "current price information".

In the present invention, the bidder proposes a price acceptable to pay, which makes the bidders participate in an auction in a non-real-time manner.

It is believed that agreement was reached that the proposed amendments would be entered, that the finality of the rejection would be withdrawn, and that the rejection involving Fujisaki and Chavez is overcome by the amended claims.

The Applicants' representative requests a telephone call at the number below to discuss the case further if the accompanying amendments do not result in a Notice of Allowance to be issued for this application.

Respectfully submitted,



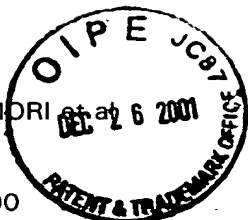
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Date: December 26, 2001

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RECEIVED**DEC 27 2001****Technology Center 2100**Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

	(COL. 1)		(COL. 2)		(COL. 3)
	Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra
Total	• 18	Minus	** 20	=	0
Indep.	• 4	Minus	*** 4	=	0
<input type="checkbox"/> First Presentation of Multiple Dependent Claims					

SMALL ENTITY

Rate	Additional Fee
x 9	\$
x 42	\$
+ 140	\$
Total	\$

OR

OTHER THAN A SMALL ENTITY

Rate	Additional Fee
x 18	\$ 0
x 84	\$ 0
+ 280	\$ 0
Total	\$ 0

OR

- * If the entry in Col. 1 is less than the entry in Col. 2, write '0' in Col. 3.
- ** If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 20, write '20' in this space.
- *** If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 3, write '3' in this space.
- The 'Highest Number Previously Paid For' (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior Amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. 50-1417 in the amount of \$_____.
- ☐ A check in the amount of \$_____ is attached in payment of:_____.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1417.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any Extension of Time fees that are necessary, which are hereby requested if necessary.

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By:

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Date: December 26, 2001